

Attorney's Docket No.: 12669-002001 / 30072UPS00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John David Fraser et al

Art Unit : 3761

Serial No. : 10/006,797

Examiner : Unknown

Filed : December 4, 2001

Title : IMMUNOMODULATORY CONSTRUCTS AND THEIR USES

Commissioner for Patents
Washington, D.C. 20231

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TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT

Applicants submit the documents listed on the attached form PTO-1449, a copy of which is enclosed. Also enclosed is a copy of an International Search Report dated February 14, 2002, issued in the corresponding International Application No. PCT/NZ01/00267.

This statement is being filed before the receipt of a first Office action on the merits.

Please apply any charges to Deposit Account No. 06-1050, referencing Attorney Docket No. 12669-002001.

Respectfully submitted,

Date: 11-12-02

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

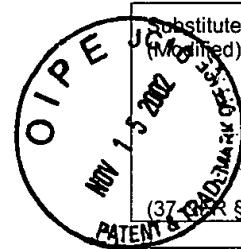
I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 12, 2002

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Deborah R. Nast
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12669-002001	Application No. 10/006,797
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant John David Fraser et al	
(37 CFR §1.98(b))		Filing Date December 4, 2001	Group Art Unit 3761

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,968,514	Oct. 19, 1999	Johnson, et al.			
	AB						
	AC						
	AD						RECEIVED
	AE						
	AF						NOV 20 2002
	AG						TECHNOLOGY CENTER R3700
	AH						
	AI						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes
	AJ	WO 98/26747	25 Jun 1998	WIPO			
	AK	WO 98/24910	11 Jun 1998	WIPO			
	AL						
	AM						
	AN						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AO	Thomas Proft, et al. <i>Superantigens: Just Like Peptides Only Different.</i> J. Exp. Med. 187(6):819-821, March 1998.
	AP	John K. McCormick, et al. <i>Development of Streptococcal Pyrogenic Exotoxin C Vaccine Toxoids That Are Protective in the Rabbit Model of Toxic Shock Syndrome.</i> Journal of Immunology, 165:2306-2312, 2000.
	AQ	
	AR	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	